Rehabilitation Guidelines for Lumbar Spondylolysis/Spondylolisthesis

The lumbar spine consists of five stacked bones (vertebra). Spondylolysis (spon-dee-low-lysis) is defined as a stress fracture defect of the pars and can occur on the left, right or both sides of the bone. The vast majority of these injuries are at the L5 bone, with the L4 level being the second most likely to be affected.

Spondylolysis occurs in 6-10% of the general population and has been found to be as high as 25-60% of the athletic population. It is especially common in young athletes under the age of 18, who participate in sports that involve twisting or backward bending motions of the spine. This injury also runs in families and is more common in some populations suggesting that there might be a hereditary component.

Understanding the Injury

An x-ray, MRI, CT scan or bone scan can confirm the diagnosis and determine how new the injury is. The fracture line or the bony defect can be classified into various stages of acuity: early, progressive and terminal.

In the early stage, bone edema might be a hairline fracture visible. In the progressive stage, the fracture may have progressed to a wider gap and in the terminal stage, the defect shows non-union, with little chance of healing. Because of this, terminal stage defects can often progress more quickly through rehabilitation.

Not all lumbar stress fractures heal. If the stress fracture is only on one side of the bone (unilateral) and is detected as soon as possible (early stage), the likelihood of healing is ~70%. If diagnosis and rest are delayed (progressive or terminal stage) then healing rates decrease to 28%. The likelihood of healing also goes down if fractures are present bilaterally (on both the left and the right side).

Eighty-five percent of athletes report good/excellent clinical outcome by year one, regardless of the fracture healing or not.

The Role of Rehabilitation

Spondylolysis creates relative instability of the lumbar region. Rehabilitation focusing on specific training of muscles surrounding the lumbar spine that provide stability can be very effective in reducing and preventing pain and instability.
These muscles are the deep abdominal muscles (transversus abdominis and internal oblique) and the lumbar multifidus. Training of these “stability” muscles in the lumbar spine provides a solid foundation for the athlete to integrate them into their sport-specific movement patterns. Exercises focusing on these muscles have been shown to significantly decrease pain and disability in people with spondylolysis/spondylolisthesis. This training effect persists for many years following only 10 weeks of practice (4).

Goals of Treatment
1. Pain control
2. Healing when possible
3. Restoration of normal function including return to sports

Consequences of the Injury
Just like any bone fracture, stress fractures in the low back need time to heal. This means resting from all sporting and impact activities until there is little, to no pain. This usually takes 4-8 weeks, but may take longer.

In patients with a bilateral stress fracture, there is a rare complication where spinal alignment could be affected.

This condition is called spondylolisthesis (spon-dee-low-lis-thee-sis). If a small amount of slip occurs, research reports that it is still safe to participate in competitive sports.

However, if too much slippage occurs, the bones may begin to press on nerves and orthopedic surgery may be necessary to correct the condition.

Treatment Options
The recommended treatment program for spondylolysis is usually a combination of the following:

- For early or progressive defects = rest/protection for the first 4 weeks, possibly longer: no sports participation, no physical education class, reduce backpack weight and avoid sleeping on your stomach (5)
- For terminal defects = a brief period of activity reduction prior to starting stabilization exercises (5)
- Pain medications as needed/recommended by your physician
- In addition to a calcium-rich diet, vitamin D is essential for bone health. Your provider may test your vitamin D level and if it is low, suggest that you take a vitamin D supplement. Research shows that vitamin D deficiency likely exists in orthopaedic trauma patients living in northern latitudes. In Nebraska, over 60% of adolescents with spondylolysis were found to have low vitamin D levels. (8)
- Rehabilitation under the guidance of a physical therapist or athletic trainer. Corrective exercise training is emphasized—beginning with gentle upper and lower body stretching and progressing to an individualized core strengthening routine that gradually builds over time.
- For most people a brace is not needed for this condition. Clinical outcome of patients treated with a brace to patients treated without a brace was not significantly different. (6) However, if 2-4 weeks of rest/activity restriction alone do not reduce the pain, then a brace may be beneficial.
- On rare occasions, orthopedic surgery should be considered when symptoms persist, there are associated nerve complications or there is a progressive slippage of the bone. In these cases, surgery can provide additional stabilization to the area.

Figure 3: X-ray of the lumbar spine showing spondylolisthesis (posterior and side view)
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**Diagnostic Stage**

The process may include x-rays, MRI, CT scan and/or bone SPECT. If (-) result.

**Rest Stage**

Rest, including no sports participation, recreational activities or physical education class. Consider bracing if still symptomatic after 2-4 weeks.

**Rehabilitation Stage**

- **Exercise Phase 1-2** Foundational
  - Range of Motion
  - Low-impact aerobic conditioning
  - Neutral spine Stabilization

- **Exercise Phase 2-3** Recovery
  - Range of motion
  - Aerobic conditioning
  - Resistive / strength training
  - Progressive spinal stabilization
  - Assess kinetic chain and sport technique

- **Exercise Phase 3-4** Functional
  - Aerobic conditioning
  - Resistive /strength training
  - Dynamic, multi-planar spinal stabilization
  - Sports specific retraining
  - Skill and technique refinement

**Return to Play Stage**

- **Exercise Phase 5**
  - Full, painfree or nearly painfree ROM
  - Normal strength & aerobic fitness
  - Improved spinal awareness & mechanics
  - Able to perform sports-related skills without pain
  - Skill and technique refinement

**Terminal defect in pars interarticularis**

- Consider other diagnosis

**Early/progressive defect in the pars interarticularis**

- Weeks 0-8
- Weeks 4-12
- Weeks 8-16
- >8 weeks; when progressed through the recovery stage

**Weeks 0 until nearly painfree with full ROM**

- When progressed through acute stage
- When progressed through recovery stage
- When progressed through functional stage

**3-6 months total time**

- 2-4 months total time
## Rehabilitation Guidelines for Lumbar Spondylolysis/Spondylolisthesis

### Rehabilitation Timeline: Phases of the Exercise Progression

<table>
<thead>
<tr>
<th>Stage</th>
<th>Rest/Protection</th>
<th>Rehabilitation Foundational</th>
<th>Rehabilitation Recovery</th>
<th>Rehabilitation Functional</th>
<th>Return to Sport</th>
<th>Total Time to Return to Sport</th>
</tr>
</thead>
<tbody>
<tr>
<td>Exercise Phase</td>
<td>1</td>
<td>2</td>
<td>3</td>
<td>4</td>
<td>5</td>
<td></td>
</tr>
<tr>
<td>Emphasis</td>
<td>Rest/protection, Core initiation, Abdominal bracing</td>
<td>Static stabilization</td>
<td>Dynamic stabilization and coordination</td>
<td>Athletic enhancement and gradual return to activity</td>
<td>Development of maintenance exercise routine</td>
<td></td>
</tr>
<tr>
<td>Duration</td>
<td>4-8 weeks</td>
<td>+1 - 4 weeks</td>
<td>+ 2 - 4 weeks</td>
<td>+ 2 - 6 weeks</td>
<td>Return to sport</td>
<td>2-6 months</td>
</tr>
</tbody>
</table>

### PHASE 1 Rest & Protection, Core Initiation, Abdominal Bracing
begins on the first day of complete rest (Day #0) and continues for 4-8 weeks, depending on your progress. To promote proper healing of the stress fracture(s) it is important to rest completely and for the entire length of time recommended by your health care professional.

#### Appointments
- First rehabilitation appointment should be within 1-2 weeks of diagnosis, every 1-2 weeks thereafter

#### Rehabilitation Goals
- Allow sufficient time for healing to occur, hold all sports participation, protect the area
- Pain-free with daily activities
- Initiate deep abdominal stabilization recruitment
- Gradually increase flexibility of key upper/lower body muscles

#### Precautions
- No active or passive lumbar extension ROM
- Consider bracing if still symptomatic after 2-4 weeks rest

#### Suggested Therapeutic Exercises
- Abdominal bracing in various postures (supine, prone over pillow, 4 point, kneeling, standing)
- Stretching exercises for key UE/LE muscles with emphasis on neutral spine alignment and in non-weightbearing postures
  - Supine 90-90 active knee extension hamstring stretch
  - Child’s pose latissimus dorsi stretch
  - Supine pectoralis stretching in 90-90 shoulder position
  - Supine or side-lying hip flexor & quad stretching
  - Supine figure 4 piriformis stretching

#### Cardiovascular
- Light stationary biking
PHASE 2 Static Stabilization: begins after the necessary 4-8 weeks of rest required to achieve significant pain reduction. It usually takes 1-3 weeks to master the foundational movement patterns in phase 2, depending on the individual.

<table>
<thead>
<tr>
<th>Appointments</th>
<th>Every 7-10 days</th>
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</thead>
<tbody>
<tr>
<td>Rehabilitation Goals</td>
<td>Maintain pain free (or nearly pain free) range of motion</td>
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<tr>
<td></td>
<td>Pain free with daily activities</td>
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<tr>
<td></td>
<td>Increase abdominal and core strength</td>
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<td></td>
<td>Ensure normal hip and thoracic mobility</td>
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<td></td>
<td>Progress flexibility and lumbar stabilization to weight-bearing postures</td>
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<tr>
<td></td>
<td>Improve pelvic proprioception</td>
</tr>
<tr>
<td></td>
<td>Maintain/ Increase flexibility in key upper/lower body muscles</td>
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<tr>
<td></td>
<td>Re-establish aerobic fitness</td>
</tr>
<tr>
<td>Precautions</td>
<td>No active or passive lumbar extension ROM</td>
</tr>
<tr>
<td>Suggested Therapeutic Exercises</td>
<td>Crunches</td>
</tr>
<tr>
<td></td>
<td>Double leg bridges</td>
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<tr>
<td></td>
<td>4 point alternate arm or leg raises</td>
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<td></td>
<td>Side lying hip abduction or clam shells</td>
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<tr>
<td></td>
<td>Side Planks</td>
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<td></td>
<td>Upright rows with abdominal bracing in sitting</td>
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<td></td>
<td>Sitting on Swiss ball, alternate lifting an arm or leg</td>
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<tr>
<td>Cardiovascular Exercise</td>
<td>Light -moderate stationary biking</td>
</tr>
<tr>
<td></td>
<td>Deep water jogging in pool with floatation vest</td>
</tr>
<tr>
<td>Progression Criteria</td>
<td>Noticeable increase in abdominal strength</td>
</tr>
<tr>
<td></td>
<td>Can accomplish full and pain free lumbar flexion and lateral flexion range of motion</td>
</tr>
<tr>
<td></td>
<td>Ability to hold bridge and side plank for 30 seconds without pain</td>
</tr>
<tr>
<td></td>
<td>Ability to maintain neutral spine posture during dynamic arm or leg ROM</td>
</tr>
</tbody>
</table>

PHASE 3 Dynamic Trunk Stabilization & Coordination can be initiated when the goals of phase 2 are met. On average, this will begin ~2-3 months from Day #0. It usually takes 2-4 weeks to achieve the goals in this phase, depending on the individual.

<table>
<thead>
<tr>
<th>Appointments</th>
<th>Every 1-2 weeks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Rehabilitation Goals</td>
<td>Continue increase in abdominal strength</td>
</tr>
<tr>
<td></td>
<td>Maintain flexibility</td>
</tr>
<tr>
<td></td>
<td>Ensure normal joint mobility: hip and thoracic spine; mobilize if necessary</td>
</tr>
<tr>
<td></td>
<td>Resume lumbar extension in non-weightbearing postures</td>
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<tr>
<td></td>
<td>Progress aerobic fitness</td>
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<tr>
<td></td>
<td>Begin sport specific drills to prepare for return to sports participation</td>
</tr>
</tbody>
</table>
### Rehabilitation Guidelines for Lumbar Spondylolysis/Spondylolisthesis

<table>
<thead>
<tr>
<th>Precautions</th>
<th>• Avoid prolonged back pain with initiation of lumbar extension AROM</th>
</tr>
</thead>
</table>
| Suggested Therapeutic Exercises | • Sit ups with medicine ball and throw ball at end for plyometrics  
• Single leg bridges  
• Oblique trunk rotation in hook lying (progress to med ball)  
• Supine hamstring curls on Swiss ball  
• Squats emphasizing hip hinge and overhead reach  
• Push-ups  
• OK to resume upper body weight lifting with spine neutral |
| Cardiovascular | • Moderate intensity stationary biking or elliptical machine  
• Shallow water jogging and jumping drills in pool (immersed to chest depth) |
| Progression Criteria | • No increase in pain with lumbar range of motion and sport skills  
• Physician’s release if necessary. |
| Suggested Therapeutic Exercise | • Multi-planar strength progression, including forward, lateral and diagonal lunges  
• Dynamic control exercise beginning with low velocity, single plane activities and progressing to higher velocity, multi-plane activities  
• Sport/work specific balance and proprioceptive drills  
• Hip and core strengthening  
• Stretching for patient specific muscle imbalances |
| Cardiovascular Exercise | • Replicate sport or work specific energy demands |
| Return To Sport Criteria | • Dynamic neuromuscular control with multi-plane activities, without pain |

**PHASE 4 Athletic Enhancement and Gradual return to activity** when the goals of phase 3 are met, you can initiate this phase. It usually takes 2-4 weeks to achieve the goals depending on the individual. This phase takes into account the unique demands of the sport: progresses into impact loading through running and jumping, provide sport-specific exercises and leads to the development of a maintenance program.

<table>
<thead>
<tr>
<th>Appointments</th>
<th>• Every 1-2 weeks</th>
</tr>
</thead>
</table>
| Rehabilitation Goals | • Maintain flexibility in key muscle groups  
• Maintain strength in abdominals and hip muscles  
• Initiate lumbar extension AROM if necessary for sport  
• Initiate impact loading of the spine including jogging, running, jumping/landing |
| Suggested Therapeutic Exercises | • In stance, Diagonal #1 and #2 trunk rotation patterns with medicine ball (wood chops)  
• Lunges (forward, backward, side) with dumbbells or medicine ball  
• Body weight suspension exercises (such as TRX)  
• Progression to Impact Loading (see Addendum A)  
• Gradual exposure to sport-specific activities and drills, making sure to concentrate on spine stability |
### Impact Loading Progression

- See Addendum A

### Cardiovascular

- Moderate-high intensity intervals with stationary biking
- Initiate Impact Loading on land (see Addendum A)

### Progression Criteria

- Successful completion of a comprehensive exercise program
- Be able to demonstrate sport-specific skills and practice drills without pain. This depends on the sport; and may include intervals of sprinting and pivoting; jumping and landing, back hyperextension and/or twisting.

### PHASE 5  Independent Exercise Program and Re-injury Prevention Program

At this point you are cleared to participate in your athletics. Your physical therapist or athletic trainer will provide you with specific exercises that will aim to enhance your athletic performance and may help to prevent future injuries. Depending on the extent of your injury, you may need to continue to avoid certain weight lifting moves such and Olympic style squats and deadlifts.

### Appointments

- Every 1-2 months

### Rehabilitation Goals

- Full participation in sports
- Commit to completing a 10-15 minute abdominal workout at least 3 times a week for the remainder of your athletic career.
- This workout should consist of some of the most challenging exercises from your rehabilitation program and might include sit ups, single leg bridges, squats & lunges, body suspension rowing, 4 point exercises with 1-2# weights on your ankles and wrists, side planks and few stretching exercises.

### Precautions

- May need to continue to avoid Olympic style squats and deadlifts (power lifting)

### Suggested Therapeutic Exercises

- Hip sled as option to replace Olympic squats
- Squats with medicine ball throw
- Single leg deadlift with dumbbells

### ADDENDUM A  Sport-specific impact loading program (for Phases 4-5)

This portion of the rehabilitation is designed to allow the athlete to gradually load the spine for a safe return to sport following a low back injury. It should be used in conjunction with a specific rehabilitation program from your physical therapist or athletic trainer. The athlete should be able to complete the entire program before return to sport is allowed.

Before doing the program each day, the athlete should warm up with 10-15 minutes of low impact activities such as stationary biking. The athlete can progress to the next level when the previous level has been completed without pain or apprehension. The athlete should rest every third day.

Your provider may modify this routine to fit the specific athletic demands of the sport. For example, a long distance runner, a basketball player and a gymnast will all have different impact loading requirements.
# Rehabilitation Guidelines for Lumbar Spondylolysis/Spondylolisthesis

## Suggested Progressions

<table>
<thead>
<tr>
<th>JOGGING</th>
<th>RUNNING</th>
<th>JUMPING / LANDING</th>
</tr>
</thead>
<tbody>
<tr>
<td>Jog 10 minutes at ½ speed</td>
<td>6 x 40 yards at ¾ speed</td>
<td>5 x 5 reps hop in place</td>
</tr>
<tr>
<td>Jog 15 minutes at ½ speed</td>
<td>10 x 40 yards at ¾ speed</td>
<td>5 x 10 reps hop in place</td>
</tr>
<tr>
<td>Jog for 20 minutes at ½ speed</td>
<td>6 x 40 yards at full speed</td>
<td>2 x 1 minute jump roping</td>
</tr>
<tr>
<td>Jog 10 min at usual pace</td>
<td>10 x 40 yards at full speed</td>
<td>4 x 1 minute jump roping</td>
</tr>
<tr>
<td>Jog 12 min</td>
<td>6 x 40 yards at ¾- full speed with cutting/ pivoting every 5 yards</td>
<td>6 x 1 min jump roping</td>
</tr>
<tr>
<td>Jog 15 min</td>
<td>10 x 40 yards at full speed with cutting/ pivoting every 5 yards</td>
<td>5 x 5 reps vertical jump squats</td>
</tr>
<tr>
<td>Jog 20 min</td>
<td>10 min sport specific drills: Such as carioca, side shuffle, retro running, skipping</td>
<td>5 x 8 vertical jump squats</td>
</tr>
<tr>
<td></td>
<td>6 x 30 second fast-paced intervals</td>
<td>3 x 20 sec multidirectional single foot hopping</td>
</tr>
<tr>
<td>Jog 25 min</td>
<td>20 min sport specific drills: Such as carioca, side shuffle, retro running, skipping</td>
<td>5 x 8 multidirectional jump squats</td>
</tr>
<tr>
<td></td>
<td>6 x 45 second fast-paced intervals</td>
<td></td>
</tr>
<tr>
<td>Jog 30 min</td>
<td>30 min sport specific drills: Such as carioca, side shuffle, retro running, skipping</td>
<td>5 x 8 multidirectional single leg leaping/ bounding Burpees</td>
</tr>
<tr>
<td></td>
<td>6 x 60 second fast-paced intervals</td>
<td></td>
</tr>
</tbody>
</table>

These rehabilitation guidelines were developed collaboratively by Julie Sherry, DPT, MS (jsherry2@uwhealth.org), Marc Sherry, DPT, LAT, CSCS (msherry@uwhealth.org), Scott Tauferner, PT, LAT (stauferner@uwhealth.org) and the UW health Sports Medicine physician group.

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## REFERENCES


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