



UW Health Compliance Committee

May 6, 2021, 5:00 - 6:30 PM

WebEx: <https://uwhealth.webex.com/uwhealth/onstage/g.php?MTID=eea94a0054d0c005ddac179400d8f296b>

Meeting Number: 120 879 6546 // Password: 050621

Telephone: +1-415-655-0003 US TOLL // Access Code: 120 879 6546

****ADVANCE MEETING MATERIALS ARE POSTED FOR REFERENCE. OCCASIONALLY, THE POSTED MATERIALS DO NOT REFLECT CHANGES MADE SHORTLY BEFORE OR DURING COMMITTEE MEETINGS. THE FULL COMMITTEE MINUTES ARE THE OFFICIAL RECORD OF FINAL COMMITTEE ACTION****

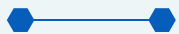
UW Health Compliance Committee - May 6, 2021 - Public Meeting Notice

Agenda

5:00 PM	<hr/> I. Call To Order and Welcome Regent Mike Jones	
5:06 PM	<hr/> II. Committee Meeting Schedule Regent Mike Jones	Discussion
5:09 PM	<hr/> III. Meeting Minutes - Open Session Regent Mike Jones	Approval
5:11 PM	<hr/> IV. UW Health Compliance Committee Mr. Troy Lepien	Report/Discussion
	Presentation - UW Health Compliance Committee	Page 3
5:35 PM	<hr/> V. Closed Session Regent Mike Jones (Materials available to Members only) Motion to enter into closed session pursuant to Wisconsin Statutes section 19.85(1)(e) for the discussion of confidential strategic matters which for competitive reasons require a closed session: review and approval of closed session minutes; and, pursuant to Wisconsin Statutes section 146.38, for the review and evaluation of health care services, including but not limited to discussion of the compliance dashboard report, corporate compliance overview, reimbursement compliance overview, research billing compliance overview, and privacy compliance overview; and pursuant to Wisconsin Statutes section 19.85(1)(g), to confer with legal counsel regarding these and other matters.	Approval
6:30 PM	<hr/> VI. Adjourn Regent Mike Jones	

UW Health Compliance Committee

Open Session – May 6, 2021



- **Seven Fundamental Elements of an Effective Compliance Program – Valerie K Jackson, Jackson Lewis November 20, 2018**
- **7 Elements**
 1. Standards, Policies, and Procedures
 2. Compliance Program Administration – Officer & Compliance Committee
 3. Conduct Effective Training and Education
 4. Develop Effective Lines of Communication
 5. Conduct Internal Monitoring and Auditing
 6. Enforce Standards of Conduct Through Well Published Disciplinary Guidelines
 7. Respond Promptly to Detected Offenses & Undertake Corrective Action

<https://www.healthcareworkplaceupdate.com/healthcare/seven-fundamental-elements-of-an-effective-compliance-program/>

Compliance Committee

- Every Company Needs a Compliance Committee – Volkov, Michael Volkov, June 22, 2012
- Office of Inspector General's 11 Should's of Hospital Compliance Committees
 1. Advise Compliance Officer and assist implementation of program
 2. Analyze organization's industry
 3. Access, develop, and promote compliance policies & procedures
 4. Recommend and monitor internal controls to carry out policies and procedures
 5. Determine appropriate strategies to promote, solicit, evaluate, detect, and respond to violations of regulations or policy including fraud, waste, and abuse (e.g. Hotline)
 6. Develop system solicit, evaluate and respond to complaints and problems
 7. Receive reports from Compliance Officer
 8. Review allegations of suspected non-compliance, and any resulting investigation and results
 9. Review compliance audit findings
 10. Evaluate the progress of implementation of compliance program
 11. Address other functions as the compliance concept becomes part of the overall hospital operating structure and daily routine

<https://blog.volkovlaw.com/2012/06/every-company-needs-a-compliance-committee/>



Questions?