



UW Health Audit Committee

July 22, 2021, 8:30 - 10:00 AM

WebEx: <https://uwhealth.webex.com/uwhealth/onstage/g.phpMTID=e414ed3efb7bb2adf9f1bcf567ee309f7>

Meeting number: 120 171 7935 // Password: 072221

Telephone: 1-415-655-0003 US TOLL // Access code: 120 171 7935

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UW Health Audit Committee - July 22, 2021 - Public Meeting Notice

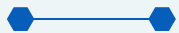
Agenda

8:30 AM	<hr/> I. Call to Order Mr. Ronald Anderson		
8:31 AM	<hr/> II. Meeting Minutes - Open Session Mr. Ronald Anderson	Approval	
8:32 AM	<hr/> III. Role of Audit Committee and Internal Audit Regarding Diversity, Equity and Inclusion Mr. Troy Lepien	Informational	
	Presentation - Role of Audit Committee and Internal Audit Regarding Diversity, Equity and Inclusion		3
8:42 AM	<hr/> IV. Fiscal Year 2021 Internal Audit Plan Results Mr. Scott Houtakker	Informational	
	Presentation - Fiscal Year 2021 Internal Audit Plan Results		5
8:52 AM	<hr/> V. Closed Session Motion to enter into closed session pursuant to Wisconsin Statutes sections 19.85(1)(e) and 146.38 for the review and evaluation of health care services and the discussion of the following confidential strategic matters, which for competitive reasons require a closed session: review and approval of closed session minutes, review Quartz 2020 audit, UW Health cybersecurity update, review fiscal year 2022 internal audit plan, and internal audit update, including review of completed internal audits; and, pursuant to Wisconsin Statutes section 19.85(1)(g) to confer with legal counsel regarding these and other matters.		
9:58 AM	<hr/> VI. Return to Open Session		
9:59 AM	<hr/> VII. ACTION: Fiscal Year 2022 Internal Audit Plan Mr. Ronald Anderson (Motion to approve Fiscal Year 2022 Internal Audit Plan as discussed in Closed Session)	Approval	
10:00 AM	<hr/> VIII. Adjourn Mr. Ronald Anderson		

Diversity Equity & Inclusion Update

UW Health Business Integrity

July 2021



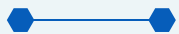
Diversity, Equity, & Inclusion Update

- DEI Training For All BI Staff
- Internal Audit Plans 2022
 - ✓ Affirmative Action Process
 - ✓ Language for Required Signage
 - ✓ Setting Compensation Levels (Non-Providers)
- Supplier Diversity
 - ✓ Strategic Plan Including Supplier Diversity – Tentatively October Board Meeting
 - ✓ DEI & Supply Chain Update – November Audit Committee

Internal Audit Report

UW Health Business Integrity

July 2021





Internal Audit Plan

Recap of Fiscal Year 2021 Internal Audit Plan

Fiscal Year 2021 Internal Audit Plan Recap

- Completed –
 - 29 of the 37 scheduled audits
 - 3 unscheduled audits
 - ERM charter and manual
- 3.7 FTE's to complete this work

Fiscal Year 2021 Internal Audit Plan Recap

Total Number of Completed Original Audits	
➤ Total Number of Critical Risk Audits	1
➤ Total Number of High Risk Audits	26
➤ Total Number of Moderate Risk Audits	2

- Significant Completed Audits
 - Emergency, business continuity, and disaster recovery plans
 - Inpatient pharmacy and operating room – security and accountability
 - Accounts receivable adjustments and bad debt analysis
 - Charge capture control validation – included 130 departments

Fiscal Year 2021 Internal Audit Plan Recap

Total Number of Completed Additional Audits	
➤ Total Number of Critical Risk Audits	1
➤ Total Number of High Risk Audits	2

- Additional Completed Audits
 - Qualys – vulnerability management tool
 - Drug Enforcement Agency (DEA) mock audit at University Hospital
 - Cactus smart sink operations – for wasting drugs

Fiscal Year 2021 Internal Audit Plan Recap

Total Number of Displaced Audits	
➤ Total Number of Critical Risk Audits	2
➤ Total Number of High Risk Audits	6

- Displaced Audits
 - Cyber security metrics and reporting
 - Telemedicine
 - Six (6) drug audits (security and accountability)

Fiscal Year 2021 Internal Audit Plan Recap - Highlights

Realized Cost Savings and Additional Reimbursement

➤ Total Cost Savings - \$975,000

- Duplicate Payments and Returns Not Deducted (utilized an external entity) - \$936,000
- Payroll - \$39,000

➤ Total Additional Reimbursement - \$641,000

- Medicare Cost Report - \$595,000
- Professional Billing Charge Capture (Cardiology) - \$46,000

UW Health Business Integrity

Internal Audit Report

Questions?